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PATENT  
Attorney Docket No.: SCRI1100

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: M. G. Von Herrath Art Unit: 1636  
Prior Application No.: 09/336,672 Examiner W. Sandals  
Filed: June 17, 1999  
Title: COMPOSITIONS AND METHODS FOR THE TREATMENT OR  
PREVENTION OF AUTOIMMUNE DIABETES (As Amended)

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith for filing in connection with the above-identified patent application,  
please find the following:

- (1) Request for Corrected Filing Receipt; (2 pgs)
- (2) Copy of Filing Receipt reflecting changes; (2 pgs)
- (3) Copy of Patent Application Transmittal Letter; (2 pgs) and
- (4) Return postcard.

**CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are  
being deposited with the United States Postal Service as first class  
mail on this date, 2-1-02, in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231

Yinia Nayar  
(Name of Person mailing Paper)

[Signature] 2-1-02  
(Signature of Person Mailing Paper) Date

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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/336,672	06/17/1999	1636	697	SCRIP1100	3	31	3

CONFIRMATION NO. 7934

CORRECTED FILING RECEIPT



\*OC00000006490614\*

 GRAY CARY WARE & FREIDENRICH LLP  
 4365 EXECUTIVE DRIVE  
 SUITE 1600  
 SAN DIEGO, CA 921212189

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Date Mailed: 08/29/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

MATTHIAS G. VON HERRATH, DEL MAR, CA;

## Assignment For Published Patent Application

THE SCRIPPS RESEARCH INSTITUTE;

INSTITUTE

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/16/1999

CPA filed on: 04/26/2001

Projected Publication Date: 12/06/2001

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

COMPOSITIONS AND METHODS FOR THE TREATMENT OR PREVENTION OF

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- o The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- o The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- o The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- o The docket number allows a maximum of 25 characters.
- o If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- o The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231

In re Application of:

M. G. Von Herrath

Application No.: 09/336,677

Filed: June 17, 1999

Page 2



PATENT

Attorney Docket No.: SCRIP1100

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No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: February 1, 2002

Richard J. Imbra  
Richard J. Imbra  
Registration No. 37,643  
Telephone: (858) 677-1496  
Facsimile: (858) 677-1465

**USPTO CUSTOMER NO. 28213**

**GRAY CARY WARE & FREIDENRICH LLP**

4365 Executive Drive, Suite 1100

San Diego, California 92121-2133



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Washington, D.C. 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>2-1-02</u> , in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231	
<u>Maria Nayer</u>	
(Name of Person mailing Paper)	
<u>Maria Nayer</u>	<u>2-1-02</u>
(Signature of Person Mailing Paper)	Date

In re Application of:  
M. G. Von Herrath  
Application No.: 09/336,672  
Filed: June 17, 1999  
Page 2

PATENT  
Attorney Docket No.: SCRIP1100

IN THE ASSIGNMENT FOR PUBLISHED PATENT APPLICATION:

Please change "INSTITUE" to read as follows ~~—INSTITUTE—~~.

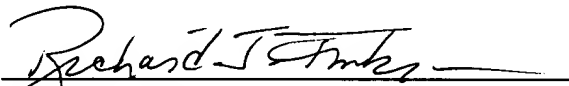
Applicant accordingly requests the correction be made of record.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355.

Respectfully submitted,

Date:

February 1, 2002



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**USPTO CUSTOMER NO. 28213**

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1100  
San Diego, California 92121-2133

. AUTOIMMUNE DIABETES

**Preliminary Class**

514

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**Data entry by : NGUYEN, TRAC**

**Team : OIPE**

**Date: 08/29/2001**

